

07/20/01
1c812 U.S. PTO

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PTO/SB/05 (03-01)
Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.
First Inventor
Title
Express Mail Label No.

GILSON WOO

TREATMENT OF AFFLICTIONS,
AILMENTS AND DISEASES

EK811681479US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- ☒ Applicant claims small entity status.
See 37 CFR 1.27.
- ☒ Specification [Total Pages 24]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 2]
- Oath or Declaration [Total Pages 2]
 - ☒ Newly executed (original or copy)
Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - ☐ Computer Readable Form (CRF)
 - Specification Sequence Listing on:
 - ☐ CD-ROM or CD-R (2 copies); or
 - ☐ paper
 - ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- ☐ Assignment Papers (cover sheet & document(s))
- ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
- ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
- ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
- ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP)

of prior application No.: 08/939,429 09/26/97

Prior application information:

Examiner

Group Art Unit: 3736 & 3737

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

Name
GILSON WOO
19708 BALAN ROAD
Address
City
ROWLAND HEIGHTS State
CA Zip Code
91748
Country
U.S.A. Telephone
909-468-5147 Fax
909-468-5147

Name (Print/Type)
GILSON WOO
Signature
Registration No. (Attorney/Agent)
Date
7-17-2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Certificate of Mailing under 37 CFR 1.8**VIA EXPRESS MAIL NO. EK811681479US**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

**Assistant Commissioner for Patents
Washington, D.C. 20231**

on July 20, 2001

Date



Signature

GILSON WOO

Typed or printed name of person of signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

*****DOCUMENTS MAILED:**

Patent Application dated July 17, 2001 for Gilson Woo including Specification, Claims, 2 sheets of Drawings, Utility Patent Application Transmittal, Fee Transmittal, Declaration for Utility Patent Application, Statement claiming Small Entity Status, Return Receipt Postcard, and Fee of \$465.00 by Money Order #02853081257; 7/16/2001.

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 463.00

Complete if Known

Application Number

Filing Date

First Named Inventor

GILSON WOO

Examiner Name

Group Art Unit

3737

Attorney Docket No.

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
Number

Deposit
Account
Name

☐ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status.
See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☒ Money
Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Fee Fee Fee
Code (\$) Code (\$) Fee Description

Fee Paid

| | | | | | |
|-----|-----|-----|-----|------------------------|-----|
| 101 | 710 | 201 | 355 | Utility filing fee | 355 |
| 106 | 320 | 206 | 160 | Design filing fee | |
| 107 | 490 | 207 | 245 | Plant filing fee | |
| 108 | 710 | 208 | 355 | Reissue filing fee | |
| 114 | 150 | 214 | 75 | Provisional filing fee | |

SUBTOTAL (1) (\$ 355

2. EXTRA CLAIM FEES

Total Claims -20** = x =
Independent Claims - 3** = x =
Multiple Dependent =

Large Entity Small Entity

Fee Fee Fee Fee
Code (\$) Code (\$) Fee Description

| | | | | | |
|-----|-----|-----|-----|---|--|
| 103 | 18 | 203 | 9 | Claims in excess of 20 | |
| 102 | 80 | 202 | 40 | Independent claims in excess of 3 | |
| 104 | 270 | 204 | 135 | Multiple dependent claim, if not paid | |
| 109 | 80 | 209 | 40 | ** Reissue independent claims over original patent | |
| 110 | 18 | 210 | 9 | ** Reissue claims in excess of 20 and over original patent | |

SUBTOTAL (2) (\$ 108

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Fee Code | Large Entity (\$) | Small Entity (\$) | Fee Code | Small Entity (\$) | Fee Description | Fee Paid |
|----------|-------------------|-------------------|----------|-------------------|--|----------|
| 105 | 130 | 205 | 65 | | Surcharge - late filing fee or oath | |
| 127 | 50 | 227 | 25 | | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 130 | 139 | 130 | | Non-English specification | |
| 147 | 2,520 | 147 | 2,520 | | For filing a request for <i>ex parte</i> reexamination | |
| 112 | 920* | 112 | 920* | | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840* | | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 | | Extension for reply within first month | |
| 116 | 390 | 216 | 195 | | Extension for reply within second month | |
| 117 | 890 | 217 | 445 | | Extension for reply within third month | |
| 118 | 1,390 | 218 | 695 | | Extension for reply within fourth month | |
| 128 | 1,890 | 228 | 945 | | Extension for reply within fifth month | |
| 119 | 310 | 219 | 155 | | Notice of Appeal | |
| 120 | 310 | 220 | 155 | | Filing a brief in support of an appeal | |
| 121 | 270 | 221 | 135 | | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 | | Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 | | Petition to revive - unavoidable | |
| 141 | 1,240 | 241 | 620 | | Petition to revive - unintentional | |
| 142 | 1,240 | 242 | 620 | | Utility issue fee (or reissue) | |
| 143 | 440 | 243 | 220 | | Design issue fee | |
| 144 | 600 | 244 | 300 | | Plant issue fee | |
| 122 | 130 | 122 | 130 | | Petitions to the Commissioner | |
| 123 | 50 | 123 | 50 | | Processing fee under 37 CFR 1.17(q) | |
| 126 | 180 | 126 | 180 | | Submission of Information Disclosure Stmt | |
| 581 | 40 | 581 | 40 | | Recording each patent assignment per property (times number of properties) | |
| 146 | 710 | 246 | 355 | | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 149 | 710 | 249 | 355 | | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 179 | 710 | 279 | 355 | | Request for Continued Examination (RCE) | |
| 169 | 900 | 169 | 900 | | Request for expedited examination of a design application | |

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ - 0 -

SUBMITTED BY

Name (Print/Type)

GILSON WOO

Registration No.
(Attorney/Agent)

Complete (if applicable)

Telephone

909-468-5147

Signature

[Signature]

Date

7-17-2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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